

## Randomized Complexity Classes

This Daily Computer introduces randomized algorithms: algorithms that use randomness during their execution, and randomized complexity classes: **RP**, **BPP** and **ZPP**.

**Randomized Algorithms.** A randomized algorithm is an algorithm that uses randomness during execution. Hence, on the same input, the algorithm may behave differently across different runs. Formally, a randomized algorithm can be viewed as a collection of deterministic algorithms  $(A_r)_r$ , indexed by random strings  $r \in \{0, 1\}^s$ . On input  $x$ , the algorithm samples  $r$  uniformly at random and executes  $A_r(x)$ . Consequently, the running time and/or output become random variables. We can divide these algorithms in two categories:

**Las Vegas Algorithms.** A Las Vegas algorithm always produces the correct output, but its running time is a random variable. Example: randomized QuickSort.

**Monte Carlo Algorithms.** A Monte Carlo algorithm runs within bounded time, but its output may be incorrect with small probability. Example: the Miller–Rabin primality test.

**Zero-error Probabilistic Polynomial ZPP** is the class of decision problems for which there exists a randomized algorithm which

- (i) always returns correct output, and
- (ii) has polynomial expected running time.

**Randomized Polynomial. RP** is the class of decision problems for which there exists a polynomial-time randomized algorithm satisfying:

- (i) If  $x \notin L$  (NO), the algorithm always rejects.
- (ii) If  $x \in L$  (YES), the algorithm accepts with probability at least  $1/2$ .

Thus, **RP** algorithms have bounded *one-sided error*. The success probability can be set to arbitrary constant greater than  $1/2$  since it can be amplified by repetition. Running the algorithm independently  $k$  times and accepting if any run accepts gives failure probability at most  $2^{-k}$ .

**Bounded-error Probabilistic Polynomial. BPP** is the class of decision problems for which there exists a polynomial-time randomized algorithm satisfying:

- (i) If  $x \notin L$  (NO), the algorithm rejects with probability at least  $2/3$ .
- (ii) If  $x \in L$  (YES), the algorithm accepts with probability at least  $2/3$ .

Hence, the **BPP** algorithms have a bounded *two-sided error*. The constants  $2/3$  and  $1/3$  are arbitrary. Similar to **RP**, by repeating the algorithm independently and taking a majority vote, the error probability can be reduced exponentially using Hoeffding inequality. Running a **BPP** algorithm independently  $k$  times and returning the majority answer yields error probability

$$\Pr[\text{majority is wrong}] \leq e^{-\Omega(k)}.$$

Thus, randomized algorithms can achieve extremely small error probabilities while still remaining polynomial-time.

**Theorem 1.**  $\mathbf{ZPP} = \mathbf{RP} \cap \mathbf{coRP}$ .

*Proof.* Suppose  $L \in \mathbf{RP} \cap \mathbf{coRP}$ . Then there exists an RP algorithm that correctly recognizes YES instances (let  $A_1$ ) and a coRP algorithm that correctly recognizes NO instances (let  $A_2$ ). Consider the algorithm that runs both algorithms repeatedly  $A_1, A_2, A_1, A_2, \dots$  until one gives a definitive output. This yields a correct answer in an expected constant number of runs, giving an algorithm with expected polynomial running time for  $L$ , hence  $L \in \mathbf{ZPP}$ .

Conversely, if  $L \in \mathbf{ZPP}$ , then there exists a randomized algorithm with expected running time  $p(n)$  (let  $A$ ). Consider the algorithm that runs  $A$  for  $10p(n)$  steps, if the algorithm has not terminated, output NO. The algorithm only makes an error on YES instances. Moreover, the probability that running time exceeds  $10p(n)$  is at most  $1/10$  (using Markov inequality), giving an **RP** algorithm for  $L$ . Similarly, returning YES if not terminated after  $10p(n)$  steps gives a **coRP** algorithm.  $\square$

**Containments.** Some fundamental containments are:

$$\mathbf{P} \stackrel{1}{\subseteq} \mathbf{RP} \stackrel{2}{\subseteq} \mathbf{BPP} \stackrel{3}{\subseteq} \mathbf{PSPACE}.$$

1. A polynomial algorithm is a randomized algorithm without using any randomness ( $s = 0$ ).
2. For NO instances an **RP** algorithm rejects with probability  $(1 > 2/3)$ . For YES instances, probability can be amplified to  $> 2/3$  by repetition.
3. A **BPP** algorithm uses polynomially many random bits, so for an input  $x$  we can enumerate all random strings  $r$  and simulate the deterministic computation  $A_r(x)$ . Using polynomial space, we count how many random strings lead to acceptance and accept iff a majority of them do; hence **BPP**  $\subseteq$  **PSPACE**.

*Remark.* It is unknown whether any of these containments are strict.

**RP vs NP.** **RP** is closely related to **NP**. In fact,

$$\mathbf{RP} \subseteq \mathbf{NP}.$$

Indeed, since an **RP** algorithm accepts with positive probability, then there exists some random string causing acceptance, which acts as a certificate.

*Remark.* However, whether **NP**  $\subseteq$  **BPP** is one of the major open problems in complexity theory.